



PUBLIC REVIEW DRAFT

August 13, 2021

2020-2021

Consolidated Annual Performance and Evaluation Report (CAPER)

HUD Entitlement: Community Development Block Grant

Public Review Period: August 13, 2021 – August 30, 2021

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan.

91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the Program Year.

This 2020 Consolidated Annual Performance and Evaluation Report (CAPER) covers the reporting period from July 1, 2020 to June 30, 2021. It is the first CAPER Plan in the five-year 2020 – 2024 Consolidated Plan. The Consolidated Plan is a comprehensive planning document that serves as the guiding document for the use of funds from the HUD Community Development Block Grant entitlement program in the areas of housing and community development. The Action Plan, a component of the Consolidated Plan, identifies the specific activities the City will undertake during the Program Year. Both the Consolidated Plan and the Action Plan are implemented by City staff. The City was awarded an entitlement allocation of \$279,064 in Community Development Block Grant (CDBG) funds for the 2020 Program Year (PY).

The CAPER identifies programs and activities that the City undertook during the 2020 PY to meet priority needs identified in the Consolidated Plan. In addition, the CAPER discusses actions that the City took to address barriers to public services for seniors, domestic violence, homelessness, and ADA improvements. The CAPER shows both annual accomplishments and the cumulative accomplishments for the Consolidated planning period. This CAPER shows progress towards goals from July 2020 through June 2021.

Unspent Funds from Previous Years and PY 2020

There are some programs identified in previous Annual Action Plans that were unable to spend the total allocation amount awarded. Due to HUD's 15% spending caps associated with the public services category, these unspent funds will be reallocated to public facilities for the ADA improvement project identified in the 2020 Annual Action Plan. The additional funds include:

- \$10,881.67 – reallocation from un-committed PY 2019 funds (Primarily unspent funds from Salvation Army and Foothills Habitat for Humanity).
- Approximately \$7,608.94 - miscellaneous prior unspent funds from previous years grant programs.

Providing additional funds in the amount of approximately \$18,490.61 together with the original allocation of \$171,799.90 in the public facilities category will increase the funding for improvements within the public right of way and pedestrian paths of travel to benefit disabled individuals in PY 2020 to \$190,290.51. However, actual funds spent on ADA improvements in PY 2020 totalled \$187,255.00, leaving another \$3,035.51 of available funds in PY 2020 unspent.

Foothills Habitat for Humanity was allocated \$9,619 in PY 2020 for their Handyman program, but the program was temporarily discontinued as a result of safety concerns during the Coronavirus pandemic.

These funds along with \$3,035.51 (i.e., total of \$12,654.51) will be reallocated to public facilities for the ADA improvement project identified in the 2021 Annual Action Plan.

CARES Act – CDBG-CV-1 and CDBG-CV-3

During PY 2020, the City was also notified of an award of \$164,189 in CDBG-CV-1 funds from the CARES Act in response to the COVID-19 pandemic to be used specifically for the prevention of, preparation for, and response to the Coronavirus. Allocation of the CDBG-CV-1 funds was the primary focus of the first substantial amendment to the 2020 Annual Action Plan approved by the City Council on September 22, 2020. The programs identified in the first substantial amendment include:

- The Gathering Inn received \$45,189 in CDBG-CV-1 funds for a Mental Health Clinician position and \$20,000 for a medical clinic to prevent, prepare for, and respond to Coronavirus and its effects on homeless populations.
- Stand Up Placer received \$15,000 in CDBG-CV-1 funds to respond to needs for services stemming from Coronavirus.
- Lighthouse received \$5,000 in CDBG-CV-1 funds for the basic essentials program, providing diapers and car seats to Rocklin residents who struggle to afford these supplies as a result of income reduction related to Coronavirus. Lifehouse also received \$25,000 in CDBG-CV-1 funds for a counseling program to respond to clients struggling with issues including, but not limited to, financial loss, at home school and childcare challenges, food insecurity, and caring for family members affected by COVID-19 or other issues directly related to Coronavirus.
- The Salvation Army received \$39,000 for the rental assistance program and \$15,000 for the food box program in CDBG-CV-1 funds to respond to income reductions related to Coronavirus.

On September 11, 2020, the City of Rocklin was also notified that it has received \$299,113 in a third round of CDBG-CV-3 funding from the CARES Act. The City released two rounds of Notices of Funding Availability (NOFA's) for the CDBG-CV-3 funds on December 18, 2020 and May 14, 2021 to solicit proposals from non-profits and other entities to utilize the CDBG-CV-3 funds. Applications for CDBG-CV-3 funds were only submitted by two entities and the combined requested funding amounts did not equal the total amount of funds available. Approval of the second substantial amendment to the 2020 Annual Action Plan is scheduled for action by the City Council on August 10, 2021 and includes the following programs:

- The Gathering Inn (TGI) to receive \$50,000 in CDBG-CV-3 funds to provide housing assistance (Rapid Rehousing & Homeless Prevention), employment assistance (required work-related supplies, short term transportation funding), and educational assistance (distance learning supplies, books, programs, etc.) to guests in TGI programs who have direct ties to Rocklin.
- The Salvation Army to receive \$20,000 in CDBG-CV-3 funds for the rental assistance program to respond to income reductions related to Coronavirus.
- The remaining \$229,113 in CDBG-CV-3 funds will be identified as "To be determined" in the

Annual Action Plan to allow for future use if other activities are developed that can address the prevention of, preparation for, and response to the Coronavirus.

The subrecipient agreements for the programs identified in the CDBG-CV-1 award are in the process of being executed. Therefore, at the time of preparing the CAPER, no funds have been drawn down. The second substantial amendment to the 2020 Annual Action Plan to include CDBG-CV-3 funding is scheduled for City Council approval on August 10, 2021. Once approved by City Council and HUD, subrecipient agreements will be executed. CDBG-CV-1 and CDBG-CV-3 funds must be expended within the same time period of 6 years from the date the CARES Act was executed on March 27, 2020 and in which 80% of the funds must be expended within 3 years.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
AH1.1: Preserve existing housing through minor rep	Affordable Housing	CDBG: \$9,619	Homeowner Housing Rehabilitated	Household Housing Unit	25	0	0.00%	5	0	0.00%
CD1.1: Improve safety and accessibility in public	Non-Housing Community Development	CDBG: \$171,800 Unspent Funds: \$18,490	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2303	0	0.00%	487	300	61.6%
CD2.1: Improve the quality of life for low-income	Non-Housing Community Development	CDBG: \$0 CDBG-CV-1: \$20,000	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	30	0	0.00%	485	0	0.00%
CD2.3: Meet senior nutrition and social needs	Non-Housing Community Development	CDBG: \$13,953	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	413	0	0.00%	105	105	100%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
CD2.4: Provide mental health services	Non-Housing Community Development	CDBG: \$13,953 CDBG-CV-1: \$85,189	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	267	0	0.00%	223	207	92.8%
HM2.1: Reduce the risk of homelessness	Homeless	CDBG: \$13,953 CDBG-CV-1: \$59,000 CDBG-CV-3: \$70,000	Homelessness Prevention	Persons Assisted	267	0	0.00%	193	32	16%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

* see pages 2 and 5 for an explanation as to why no progress was made in some programs in PY 20

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The use of CDBG funds enabled the City to address many of its highest priority needs in 2020-2021.

Public services, public facilities improvements, and activities to address homelessness were provided to 644 individuals in PY 2020. These services meet the basic needs of low-income households, victims of sexual and domestic abuse and human trafficking, including basic nutrition, improving accessibility through installation of ADA ramps on public streets and services to prevent chronic homelessness.

Public facilities that serve low-income individuals and accommodate the needs of persons with disabilities as well as serving their basic infrastructure needs were addressed with the use of CDBG funds.

In PY 2020, Foothills Habitat for Humanity received \$9,619 in funding but the subrecipient chose to temporarily discontinue the program as a result of safety concerns during the Coronavirus pandemic. In this program, volunteers enter the residents' homes and residents are typically elderly adults. Therefore, there were no households assisted by the handyman program in PY 2020. The subrecipient will likely reapply for CDBG funds in the future to continue the program once it is safe to do so.

Most of the PY 2020 funds (PY 2020 award and unexpended funds re-allocated from prior years) were spent within the Program Year. The remaining funds \$12,654.51 (i.e., \$3,035.51 unspent public facilities ADA funds available in PY 2020 and \$9,619 in unspent PY 2020 funds to Habitat for Humanity) are anticipated to be spent by the end of PY 2021.

The City of Rocklin does its best during every Program Year to spend all of the funds allocated to the public services goal. However, in the event that the City does not spend all of the funds allocated to the goal, City staff re-allocates the unexpended funds to non-public services goals, often including infrastructure improvements, in the following Program Year.

During PY 2020, the City:

- Continued to develop its relationships with private for-profit developers and non-profit housing providers to expand the availability of affordable housing.
- Continued to participate in the Governance Committee of the "Homeless Resource Council of the Sierras" (HRCS) for the Nevada-Placer Continuum of Care (CoC) planning process on ending homelessness. As of December 2018, City Staff also participates in the CoC's Community Engagement Committee to keep the public informed and extend assistance to as many people as possible. The HRCS is a collaborative association of service providers and governmental agencies who work together to strengthen the delivery system by integrating and coordinating housing, homeless assistance and supportive services.
- Continued to participate in regular meetings of the South Placer Homeless group organized by

Placer County Health and Human Services.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG
White	252
Black or African American	32
Asian	7
American Indian or American Native	4
Native Hawaiian or Other Pacific Islander	2
American Indian/American Native & White	7
Asian & White	21
African American and White	16
American Indian/Alaskan Native & Black/African American	1
Other multi-racial	7
Total	349
Hispanic	54
Not Hispanic	295

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

In PY 2020, resources targeted to special needs populations were allocated on a City-wide basis, where needs were identified and/or where resources could be coordinated with existing facilities and services. The table above includes demographic data for families assisted through CDBG Services (Public Services-Senior Nutrition, Salvation Army Rental Assistance and Stand Up Placer Therapy Services). The Infrastructure Improvements activity was also targeted to neighborhoods with older infrastructure and lowest income levels. The City assigned the priority for each category based upon the overall relative need as expressed by members of the public with disabilities, resources received and policies established by the City. The City will continue to pursue all available Federal, State and local resources and look to all segments of the community to assist with meeting homeless, affordable housing and community development needs. However, the City's greatest obstacle to meeting underserved needs within the community continues to be lack of resources given cutbacks at the Federal, State and local level.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	\$297,554.61	\$284,900.10
Other	CDBG-CV-1 and CDBG-CV-3	\$463,302	0

Table 3 - Resources Made Available

Narrative

The City of Rocklin was awarded \$279,064 in PY 2020 and reallocated a total of \$18,490.61 (i.e, \$10,881.67 from un-committed PY 2019 funds, and \$7,068.94 from miscellaneous prior year unspent funds). The City has expended \$284,900.10 which represents 95.7% of the total resources (\$297,554.61) available in PY 2020. In addition, the City of Rocklin was awarded a total of \$463,302 in CDBG-CV funds (\$164,189 in CDBG-CV-1 funds and \$299,113 in CDBG-CV-3 funds). Rocklin is an "exception grantee" under the CDBG rules that relate to the use of CDBG funds to benefit low-income areas. Rather than a residential area needing to be comprised of 51% or more low-income households to be considered low-income, the City of Rocklin's exception criteria is 47.31%. Areas that are within the upper quartile of low-income households are low-income areas for the purposes of CDBG funding. The data show that Rocklin has an overall Low-Moderate Income (LMI) population of 28.08%. The programs that were funded using CDBG money in PY 2020 provided services to seniors, victims of sexual and domestic assault and human trafficking, disabled and at-risk of being homeless persons in various locations within the City of Rocklin.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Central Rocklin/Downtown area	65%	68%	Sidewalk repairs and accessibility improvements to remove architectural barriers in the public right-of-way and improve safety for disabled persons.

Table 4 – Identify the geographic distribution and location of investments

Narrative

The Central Rocklin/Downtown area is a defined target area that describes a specific geographic area within the City of Rocklin. Infrastructure Improvements, in the form of ADA ramp improvements, were planned and constructed in this target area. The remaining funds, comprised of public services and

administration, were spent on activities throughout the City of Rocklin. Based on the 2011-2015 American Community Survey (ACS) data provided to HUD by the US Census Bureau, the City's "upper quartile" is 47.31% as there is only one census tract within the City that contains 51% or more Low-Moderate Income (LMI) households.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The City has actively sought funding sources in addition to CDBG to leverage its resources. For example, Low Carbon Transit Operations Program (LCTOP) Funds and Transit System Safety, Security and Disaster Response Account (TSSSDRA) funds have been obtained and will be used by the City to fund the installation of bus shelters to compliment the installation of sidewalks and curb ramp improvements. The City does not receive a formula allocation of HOME Investment Partnerships Program (HOME) funds. The City of Rocklin's Section 8 voucher program is administered by the City of Roseville's Housing Authority under contract with the City of Rocklin. The Placer County Housing Authority also issues Section 8 vouchers in Rocklin.

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	0
Number of Non-Homeless households to be provided affordable housing units	0	0
Number of Special-Needs households to be provided affordable housing units	0	0
Total	0	0

Table 5 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	0	0
Number of households supported through Rehab of Existing Units	0	0
Number of households supported through Acquisition of Existing Units	0	0
Total	0	0

Table 6 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The elimination of prior ongoing funding sources by the state of California, such as Redevelopment, has severely limited the City's ability to participate in funding of affordable housing. Through a contract with the Roseville Housing Authority, the City was able to provide 115 Section 8 vouchers throughout PY 2020. Another 75 Section 8 vouchers were issued in Rocklin by the Placer County Housing Authority for a combined total of 190 Section 8 vouchers issued.

The “Quarry Place Project” received its final Design Review entitlement approval October 8, 2019. It will be 180 units of which 100% will be affordable to either 50% or 60% AMI households. It will be a 4% federal Low-Income Housing Tax Credit project with 55 year affordability restrictions.

The City has also recently received an application for a 288 unit affordable apartment project in North West Rocklin (called Terracina) located east of University Avenue, west of Wildcat Boulevard, and north of Whitney Ranch Parkway, as well as an application for a new 180 unit Senior affordable apartment project (called Sierra College Senior Apartments) located on the south side of Rocklin Road, just west of Havenhurst Circle. Terracina was recommended for approval by the Planning Commission on August 3, 2021 and is scheduled to go before the City Council on August 24, 2021. Sierra College Senior Apartments is currently being processed through Design Review.

Discuss how these outcomes will impact future annual action plans.

The City's goal is to increase the number of rental and purchase units affordable to very low, low and moderate-income households. The City will continue to pursue available Federal, State and local resources to address affordable housing needs. The City will meet the underserved needs of the homeless population through participation in a countywide Continuum of Care process and in partnership with supportive service providers. The City provided CDBG funding for a variety of public service activities to create or expand services that target underserved needs within the community.

Include the number of extremely low-income, low-income, and moderate-income persons served by each housing activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	0
Low-income	0	0
Moderate-income	0	0
Total	0	0

Table 7 – Number of Households Served

Narrative Information

The downturn of the housing market in the late 2000’s reduced the private market development of single-family homes and multi-family housing units. This has also reduced the availability of affordable homes for purchase and rental. Multi-family home developers have been affected by reductions or eliminations of a variety of funding sources. Now, the market is working to catch up with demand, particularly in the rental market. The City is challenged to develop new affordable housing units given the reduction of resources to subsidize affordable housing production.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

City staff has participated in regular committee meetings organized by the Homeless Resource Council of the Sierra (CoC) and Placer County Health and Human Services (HHS) Department from August 2015 to the present to consider homeless shelter needs and options in the county, as well as strategies to create permanent housing solutions. Attendees have included local agency staff from cities and counties, and numerous non-profits. The City of Rocklin will continue to coordinate with the County HHS and Placer County Continuum of Care to implement the Strategic Plan to End Homelessness in Placer County as well as similar future planning endeavors to address homelessness. Their mission is to bring people together in collaboration to develop, coordinate and support projects, services and resources that will enhance our partner's ability to end homelessness. In PY 2020 the City of Rocklin awarded the Salvation Army with \$13,953.20 in funds for emergency rental assistance to be paid directly to landlords to prevent homelessness and all of those funds were expended. The Gathering Inn has a Saturday health clinic, a clothing closet, and laundry facilities. Saint Vincent de Paul serves hot meals and provides supplies, urgent and triage medical care to those in need. The Placer County Adult System of Care has a street outreach program that is successfully engaging people. Placer County's Continuum of Care (CoC) conducted a Point in Time count (PIT) in January of 2020. The PIT provides the homeless assistance community with the data needed to understand the number and characteristics of persons who are homeless at one point-in-time. In 2020, the methodology for conducting the PIT count was modified due to the impact of the Coronavirus. A PIT count and survey was conducted on January 28, 2021 for sheltered homeless. For unsheltered homeless the count is being generated through HMIS data, By Name Lists and data provided by local law enforcement. There were 357 sheltered and 351 unsheltered for a total of 708 homeless person counted throughout Placer County as a whole (including all incorporated Cities). Countywide numbers in 2021 were down compared to the 744 individuals that were reported Countywide in 2020.

Addressing the emergency shelter and transitional housing needs of homeless persons

In Program Year 2020, the Gathering Inn received \$60,000 from the City's Low Moderate Housing Asset Fund dollars to assist the homeless with social, health and case management services. This organization is a nomadic sheltering program offering overnight accommodations for up to 65 homeless persons and is the only overnight emergency shelter in Placer County serving all demographics of individuals experiencing homelessness. There are 39 hosting churches of which 10 are located in Rocklin. The organization helps homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitates access for homeless individuals and families to affordable housing

units, and prevents individuals and families who were recently homeless from becoming homeless again. The Gathering Inn served a total of 790 unsheltered individuals through its four emergency shelter & interim care programs and provided 56,678 bed nights of care to homeless men, women, and children in Placer County. Of those served, 48% of all guests were placed into housing through the Gathering Inn's housing-based case management in fiscal year ending June 2020. The CoC evaluates services and identifies gaps in homeless services at least annually.

The collaboration with the Placer Consortium on Homelessness is one of the City's mechanisms to prevent homelessness in the City of Rocklin. Partnership with The Gathering Inn is also a way to assist the needs of the homeless through education and services. Homeless priorities have been established within the framework of the Homeless Resource Council of the Sierras; the priorities have been set as: emergency shelter, transitional housing, permanent supportive housing, and rapid re-housing. Most housing options for the homeless individuals and families are in permanent supportive housing programs; with only temporary openings because of a change in status of a resident. In comparison, except for victims of domestic violence, there are very few beds available in emergency shelter situations. There were 264 emergency beds in PY 20. 50 beds are solely for survivors of domestic violence, 5 beds are from the Advocates for Mentally Ill Housing, 6 beds are from Sierra Community House, 6 are from Koinonia Family Services, 4 beds are from Whole Person Care and 15 beds are from Placer County. The 178 remaining beds are at the Gathering Inn. This number fluctuates depending on the need.

Emergency shelter is identified as a high-priority, while Transitional housing and Supportive housing are identified as a medium-priority. The need for emergency shelter, mental health services, substance abuse treatment, and emergency food and clothing exists, especially for some subpopulations, such as those with severe mental illness or individuals who are chronically homeless (who have had regular or continued periods of homelessness). Emergency shelter may be used as engagement housing in order to build trust until such time as the client is ready to move into a permanent supportive housing situation.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

In PY 2016, City staff constructed a list of services offered to Rocklin residents that need physical and mental health services, foster care, food and other assistance in order to better pair the services to those who need them. In PY 2020, City staff continued to add to the list as they became aware of new services. In addition, City staff has prepared a list of various organizations focusing on the needs of Rocklin persons with developmental disabilities. These organizations provide support to individuals and families who have physical, learning, language, or behavioral impairment. These conditions begin before the age of 18, have an impact on daily life, and generally last throughout a person's life. The City of Rocklin collaborates with

the Placer Consortium on Homelessness as a mechanism to prevent homelessness. Family Resource Centers are located close to where people need services, are welcoming, have bilingual staff, and provide numerous services to low-income families, including families who are homeless. The CoC works with the Food Closet Collaborative to make sure that all Food Closets are informed about services. There are some families who live in outlying areas with little to no connection to services; therefore, outreach is typically provided through the Food Closets. The CoC will continue to review local health information and trends for both counties annually. The CoC will continue to work closely with the school Homeless Liaisons.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Local service providers are applying for and have received Rapid Rehousing and Homeless Prevention funds to assist homeless families and individuals. In planning the housing needs of these populations, the CoC considers the housing needs of families and individuals. The scattered site housing programs serve both populations. There are emergency beds, transitional housing beds, and permanent supportive housing beds currently serving families. Domestic violence, substance abuse, mental and physical disabilities can all contribute toward individual and family homelessness. There are collaborative community efforts to provide coordinated services to those in need of assistance. The new designation of chronic family homelessness is very helpful in focusing services on the most vulnerable populations first. The City also coordinated with surrounding jurisdictions in the provision of housing assistance and related services to homeless persons.

The Gathering Inn helps homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitates access for homeless individuals and families to affordable housing units, and prevents individuals and families who were recently homeless from becoming homeless again.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The City does not have public housing within its jurisdiction, however the City of Rocklin contracts with The Roseville Housing Authority to operate and administer the Housing Choice Voucher (HCV) Section 8 Rental Assistance Program which serves both the cities of Roseville and Rocklin. The Placer County Housing Authority provides Section 8 vouchers to Rocklin residents as well.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The City does not have public housing within its jurisdiction and therefore this section does not apply.

Actions taken to provide assistance to troubled PHAs

The City does not have public housing within its jurisdiction and therefore this section does not apply.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City of Rocklin is committed to removing or reducing barriers to affordable housing whenever possible. Barriers to affordable housing identified in the City's Analysis of Impediments to Fair Housing Choice, published in 2011, include: 1) Lack of sufficient affordable housing supply; 2) Loss of affordable units; and 3) Need for rental subsidy for lower-income households. During PY 2020, the City has continued to ensure the availability of adequate sites for the development of affordable housing and has researched state and federal funding sources to support efforts to construct affordable housing. The City continues to support opportunities for the redevelopment or re-use of underutilized parcels, particularly for high density and affordable housing development. The City has over 200 condominiums that must remain affordable to persons of low or moderate income. City staff verifies program participant's income and administers the program. In PY 2020, the City received an application for a 288 unit affordable apartment project in North West Rocklin (called Terracina) located east of University Avenue, west of Wildcat Boulevard, and north of Whitney Ranch Parkway, as well as an application for a new 180 unit Senior affordable apartment project (called Sierra College Senior Apartments) located on the south side of Rocklin Road, just west of Havenhurst Circle. Terracina was recommended for approval by the Planning Commission on August 3, 2021 and is scheduled to go before the City Council on August 24, 2021. Sierra College Senior Apartments is currently being processed through Design Review.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

Consistent with priority needs identified in the Consolidated Plan, during PY 2020 the City provided funding for the following activities that addressed priority special needs populations:

The City provided CDBG funds to Seniors First to administer a Senior Nutrition program, which provides hot meals to seniors in need. The City provided CDBG funds to Stand Up Placer to provide additional therapy services, crisis intervention and advocacy services to Rocklin residents. The City provided CDBG funds to The Salvation Army to assist individuals or families that receive a 3-day pay or quit notice from their landlord. The assistance will go directly to the landlord on behalf of the individuals or families. The City provided Low-Moderate Income Housing Asset funds to The Gathering Inn to provide case management, temporary housing and other support services to serve individuals and families facing homelessness.

Other Federal, State and local resources, including funds from charitable organizations and private donations, were made available to service providers to assist special needs populations. Service providers received these funds directly. Maintaining a partnership with The Placer Consortium on Homelessness and Affordable Housing (PCOH) has helped to address and reduce obstacles to meeting underserved

needs. In addition, the City's Housing Element includes recommendations and programs to alleviate obstacles to meeting underserved needs. The City will continue to use CDBG dollars to address underserved needs and to provide education about services and service providers to the extent feasible.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Maintaining a partnership with PCOH has helped to address and reduce obstacles to meeting underserved needs. In addition, The City of Roseville Housing Authority and Placer County Housing Authority administer the Housing Choice Voucher Section 8 Rental Assistance Programs, of which an inspection is required of painted surfaces for the discovery of the potential presence of lead-based paint. If the inspection conducted identifies that a potential hazard exists, the program requires the rehabilitation contractor or homeowner to follow HUD guidelines for mitigation or removal of the lead-based paint hazard. The City also requires that property owners properly maintain their dwelling units. Complaints of deteriorating surfaces containing lead-based paint, and posing a hazard to occupants are reportable to the Placer County Environmental Health Department and represent a potential County Health Code violation subject to appropriate mitigation by the property owner. The City's Housing Element includes recommendations and programs to alleviate obstacles to meeting underserved needs. The City will continue to use CDBG dollars to address underserved needs and to provide education about services and service providers to the extent feasible.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

During PY 2020, the City of Rocklin took the following actions to reduce the incidence of poverty within the City's jurisdiction:

\$60,000 in Rocklin Low Moderate Housing Asset funds were allocated to The Gathering Inn. Funds were geared toward Rocklin individuals and families who are homeless or at risk of becoming homeless, and those persons living below the poverty level. The City of Rocklin assisted households by identifying and linking residents to various housing, social services and educational programs.

City Staff continues to work with new and existing business owners, developers and local business associations to promote its policy of ensuring a healthy job/housing balance which fosters a variety of jobs with varying levels of skill and training to strengthen the current local economy.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The City has continued to rely on the Rocklin Housing Division to administer its housing programs and implement housing policies. The Housing Division administers affordable housing homeownership programs and down payment assistance programs, in addition to oversight of CDBG subrecipients and related activities. The Housing Division also provides program and resource information and referrals to residents; including referrals to homeless assistance, fair housing, legal services, etc.

The programs administered by the Housing Division are funded through a variety of public and private sources, including Federal, State and City funding under local affordable housing requirements. The City is the lead agency for allocated CDBG funding and has established goals under the Entitlement Program to integrate planning and projects in correlation with community needs and priorities.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The City has continued to support services that meet the needs of Rocklin residents. The Housing Division acts as a liaison between other public and private agencies to maximize service to Rocklin residents and prevent the duplication of services. The City of Roseville's Housing Authority administers the Section 8 program for the City of Rocklin, under contract. Section 8 vouchers are also issued in Rocklin by the Placer County Housing Authority. The City will continue to provide assistance to outside organizations when necessary and as funds are available.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The City has completed several of the implementation tasks identified in the Analysis of Impediments to Fair Housing. Many of them have been ongoing tasks that have been addressed throughout the year.

Fair Housing pamphlets and literature are also made available to the public. Housing Division staff continues to further their knowledge of fair housing laws and issues, including making pamphlets and news articles available to staff. Housing Division staff continues to address all housing-related complaints, including those regarding discrimination. In the case of alleged discrimination, those residents are provided with information regarding fair housing law, a HUD discrimination complaint form, and referrals to legal services, the State Department of Fair Housing and Employment, and other appropriate agencies.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Each year, the City conducts a risk assessment of sub-recipients to determine the need for monitoring. All sub-recipients are subject to an annual risk assessment and on-site monitoring visit to ensure compliance with the CDBG program requirements. The City of Rocklin has developed a monitoring system to ensure that the activities carried out in the furtherance of the Consolidated Plan are done in a timely manner and in accordance with the federal monitoring requirements of 24 CFR 570.501 (b) and 24 CFR 85.40 and all other applicable laws, regulations, policies, and sound management and accounting practices. The City was unable to conduct on-site monitoring visits with subrecipients during PY 2020 due to COVID-19 restrictions. However, each subrecipient has received on-site monitoring visits in the previous program year between July 2019 and June 2020 (Seniors First on January 23, 2020, The Salvation Army on February 14, 2020, and Stand Up Placer on March 12, 2020) with no significant issues or findings upon completion of the monitoring visits. In order to ensure requirements of the programs were being met during this program year, City Staff were in constant communication via emails, phone calls or Zoom meetings with each of the subrecipients during the 2020 program year to ensure all recordkeeping practices were upheld as set forth in the Subrecipient Agreements. Due to the experience of the City with these particular subrecipients in prior years and their familiarity with use and reporting requirements for CDBG funds in the past, the City had additional confidence that their operations have continued to comply with HUD's regulations.

In terms of the actions taken for compliance with minority business outreach and comprehensive planning requirements, the City's Notice to Bid is advertised in the local paper twice (two consecutive weeks), posted on the City's website and on CIPList.com. The CIPList.com subscribers include many minority or women owned businesses.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

A notice of the Draft CAPER's availability, public review period (August 13, 2021 through August 30, 2021) and anticipated public hearing date of September 28, 2021 was published in the Placer Herald newspaper on August 13, 2021.

The City notified public service agencies directly via an email to local non-profit collaborations (Placer Collaborative Network and Placer Consortium on Homelessness) regarding the availability of the 2020 CAPER and attached electronic copies/links of the public review/hearing notice and Draft document. These organizations provide services to minorities and non-English speaking persons, as well as, persons

with disabilities. The City encourages all agencies who receive these types of notices to share this information with their clients and other organizations who may be interested in the Draft CAPER.

Comments on the Draft Plan are due on Monday, August 30, 2021.

The CAPER will be submitted to HUD by September 30, 2021 for review.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

On December 17, 2020, the City of Rocklin was notified by HUD about an error in HUD's initial formula allocations for PY 2020 Standard CDBG program funds. Due to a \$42 reduction in the total amount from \$279,106 to \$279,064, the City changed the allocations to the three Public Services subrecipients so that each subrecipient is receiving an equal portion of the Public Services allocation in order to best serve all the populations in need. The Planning and Administration funds were also reduced slightly from the original allocation.

There are some programs identified in previous Annual Action Plans that were unable to spend the total allocation amount awarded. Due to HUD's 15% spending caps associated with the public services category, these unspent funds will be reallocated to public facilities for the ADA improvement project identified in the 2020 Annual Action Plan. The additional funds include \$10,881.67 reallocated from uncommitted PY 2019 funds (Primarily unspent funds from Salvation Army and Foothills Habitat for Humanity) and approximately \$7,608.94 of miscellaneous prior unspent funds from previous years grant programs.

Providing additional funds in the amount of approximately \$18,490.61 together with the original allocation of \$171,799.90 in the public facilities category increased the funding for improvements within the public right of way and pedestrian paths of travel to benefit disabled individuals in PY 2020 to \$190,290.51. However, actual funds spent on ADA improvements in PY 2020 totalled \$187,255.00, leaving another \$3,035.51 of available funds in PY 2020 unspent.

Foothills Habitat for Humanity was allocated \$9,619 in PY 2020 for their Handyman program, but the program was temporarily discontinued as a result of safety concerns during the Coronavirus pandemic. These funds along with \$3,035.51 (i.e., total of \$12,654.51) will be reallocated to public facilities for the ADA improvement project identified in the 2021 Annual Action Plan.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No