



PUBLIC REVIEW DRAFT

July 30, 2022

2021-2022

Consolidated Annual Performance and Evaluation Report (CAPER)

HUD Entitlement: Community Development Block Grant

Public Review Period: July 30, 2022 – August 16, 2022

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan.

91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the Program Year.

This 2021 Consolidated Annual Performance and Evaluation Report (CAPER) covers the reporting period from July 1, 2021 to June 30, 2022. It is the second CAPER Plan in the five-year 2020 – 2024 Consolidated Plan. The Consolidated Plan is a comprehensive planning document that serves as the guiding document for the use of funds from the HUD Community Development Block Grant entitlement program in the areas of housing and community development. The Action Plan, a component of the Consolidated Plan, identifies the specific activities the City will undertake during the Program Year. Both the Consolidated Plan and the Action Plan are implemented by City staff. The City was awarded an entitlement allocation of \$284,327 in Community Development Block Grant (CDBG) funds for the 2021 Program Year (PY).

The CAPER identifies programs and activities that the City undertook during the 2021 PY to meet priority needs identified in the Consolidated Plan. In addition, the CAPER discusses actions that the City took to address barriers to public services for seniors, domestic violence, homelessness, and ADA improvements. The CAPER shows both annual accomplishments and the cumulative accomplishments for the Consolidated planning period. This CAPER shows progress towards goals from July 2021 through June 2022.

Unspent Funds from PY 2020

In PY 2020, the public facilities category increased the funding for improvements within the public right of way and pedestrian paths of travel to benefit disabled individuals to \$190,290.51. However, actual funds spent on ADA improvements in PY 2020 totaled \$187,255.00, leaving \$3,035.51 of available funds in PY 2020 unspent.

Foothills Habitat for Humanity was allocated \$9,619 in PY 2020 for their Handyman program, but the program was temporarily discontinued as a result of safety concerns during the Coronavirus pandemic. These funds along with \$3,035.51 (i.e., total of \$12,654.51) were reallocated to public facilities for the ADA improvement project identified in the 2021 Annual Action Plan.

CARES Act – CDBG-CV-1 and CDBG-CV-3

Although not technically a part of the PY 2021 Annual Action Plan, the City is including a brief update regarding CARES Act funding and spending in the CAPER. The City's initial allocation of CDBG-CV-1 (\$164,189) funds was the primary focus of the first substantial amendment to the 2020 Annual Action Plan approved by the City Council on September 22, 2020. The programs identified in the first substantial amendment included:

- The Gathering Inn received \$45,189 in CDBG-CV-1 funds for a Mental Health Clinician position and \$20,000 for a medical clinic to prevent, prepare for, and respond to Coronavirus and its effects on

homeless populations.

- Stand Up Placer received \$15,000 in CDBG-CV-1 funds to respond to the service needs of victims of domestic violence stemming from Coronavirus.
- Lighthouse received \$5,000 in CDBG-CV-1 funds for the basic essentials program, providing diapers and car seats to Rocklin residents who struggle to afford these supplies as a result of income reduction related to Coronavirus. Lifehouse also received \$25,000 in CDBG-CV-1 funds for a counseling program to respond to clients struggling with issues including, but not limited to, financial loss, at home school and childcare challenges, food insecurity, and caring for family members affected by COVID-19 or other issues directly related to Coronavirus.
- The Salvation Army received \$39,000 for a rental assistance program and \$15,000 for the food box program in CDBG-CV-1 funds to respond to income reductions related to Coronavirus.

Since the allocation of CDBG-CV-1 funds was approved for the above programs, spending has occurred in some programs, but not yet in others. The Gathering Inn has expended \$56,674.98 of the funds allocated for their mental health and medical clinic programs combined. However, due to staffing changes, staffing losses and other challenges handling the large influx of funds available from a variety of sources with limited staff, the other CDBG-CV-1 suprecipients have yet to implement their programs. The Salvation Army formally terminated its contract for the \$15,000 in CDBG-CV-1 funds that had been allocated for their food box program indicating that tracking of the Coronavirus relationship as opposed to other food box recipients without a COVID-19 relationship did not work well with their method of food distribution and was too cumbersome to implement. Stand Up Placer has conducted counseling services for clients both with and without a Coronavirus related impact, but since the spending timelines for standard CDBG funds has a shorter timeframe than CDBG-CV-1 funds, they have focused their invoicing toward standard CDBG activities first. Under current contracts, the deadline for subrecipients to spend CDBG-CV-1 funds is through June 30, 2023. With the pandemic and its ongoing impacts continuing, the City is hopeful that these recipients will be able to provide the programmed services within that timeframe. The City is also open to working with these entities to amend their programs in the 2020 Action Plan, if needed, to create the opportunity for better utilization of the funds.

In addition to CDBG-CV-1 funds, on September 11, 2020, the City of Rocklin was also notified that it had received \$299,113 in a third round of CDBG-CV-3 funding from the CARES Act. The City released two rounds of Notices of Funding Availability (NOFA's) for the CDBG-CV-3 funds on December 18, 2020 and May 14, 2021 to solicit proposals from non-profits and other entities to utilize the CDBG-CV-3 funds. Applications for CDBG-CV-3 funds were only submitted by two entities and the combined requested funding amounts did not equal the total amount of funds available. Approval of the second substantial amendment to the 2020 Annual Action Plan was approved by the City Council on August 10, 2021 and included the following programs:

- The Gathering Inn (TGI) to receive \$50,000 in CDBG-CV-3 funds to provide housing assistance (Rapid Rehousing & Homeless Prevention), employment assistance (required work-related supplies, short term transportation funding), and educational assistance (distance learning

supplies, books, programs, etc.) to guests in TGI programs who have direct ties to Rocklin.

- The Salvation Army to receive \$20,000 in CDBG-CV-3 funds for the rental assistance program to respond to income reductions related to Coronavirus.
- The remaining \$229,113 in CDBG-CV-3 funds was identified as “To be determined” in the Annual Action Plan to allow for future use if other activities were developed that can address the prevention of, preparation for, and response to the Coronavirus.

Similar to CDBG-CV-1 programs, spending of the CDBG-CV-3 funds for the above programs has been largely delayed as subrecipients struggle to keep up with the variety of funding they have received and/or have encountered difficulties in utilizing the funds for the activities originally envisioned.

In an effort to create another opportunity to accept new or revised proposals to spend the CDBG-CV-1 and CDBG-CV-3 funds available, the City issued a third NOFA on May 7, 2022 to solicit proposals from new parties and/or provide the opportunity for existing CDBG-CV-1 or CDBG-CV-3 subrecipients to submit revised proposals. The City has received new or revised proposals from three entities. Unfortunately, the total amount requested collectively does not encumber the total amount available. The City will prepare a third amendment to the 2020 Action Plan to address changes to original programs and award allocations to new programs as applicable.

Rocklin’s CDBG-CV-1 and CDBG-CV-3 funds must be completely expended by November 9, 2026, however, 80% (\$370,641.60) of the funds must be expended within 3 years (i.e., by November 9, 2023). Even with the proposals currently received in response to the third NOFA, the City will not have allocated 80% of its combined CDBG-CV-1 and CDBG-CV-3 funds to specific entities and activities in the anticipated third amendment to the 2020 Action Plan. The City’s focus will be to work with current and new subrecipients to maximize their spending. However, unless another substantial program and recipient is identified, it is likely that only half of the funds available will be spent within the current mandated timeframe.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
CD1.1: Improve safety and accessibility in public	Non-Housing Community Development	CDBG: \$184,812.55 Unspent 2020 Funds: \$12,654.51	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2303	300	13%	100	300	300%
CD2.3: Meet senior nutrition and social needs	Non-Housing Community Development	CDBG: \$14,216.35	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	413	112	27.12%	60	112	186.67%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
CD2.4: Provide mental health services	Non-Housing Community Development	CDBG: \$14,216.35	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	267	59	22.09%	80	59	73.75%
HM2.1: Reduce the risk of homelessness	Homeless	CDBG: \$14,216.35	Homelessness Prevention	Persons Assisted	267	8	3.00%	15	8	53.33%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The use of CDBG funds enabled the City to address many of its highest priority needs in 2021-2022.

Public services, public facilities improvements, and activities to address homelessness were provided to 479 individuals in PY 2021. These services meet the basic needs of low-income households, victims of sexual and domestic abuse and human trafficking, including basic nutrition, improving accessibility through installation of ADA ramps on public streets and services to prevent chronic homelessness.

Public facilities that serve low-income individuals and accommodate the needs of persons with disabilities as well as serving their basic infrastructure needs were addressed with the use of CDBG funds.

All of the PY 2021 funds were spent within the Program Year. During PY 2021, the City:

- Continued to develop its relationships with private for-profit developers and non-profit housing providers to expand the availability of affordable housing.
- Continued to participate in the Governance Committee of the "Homeless Resource Council of the Sierras" (HRCS) for the Nevada-Placer Continuum of Care (CoC) planning process on ending homelessness. Since December 2018, City Staff participated in the CoC's Community Engagement Committee to keep the public informed and extend assistance to as many people as possible. The HRCS is a collaborative association of service providers and governmental agencies who work together to strengthen the delivery system by integrating and coordinating housing, homeless assistance and supportive services.
- Continued to participate in regular meetings of the South Placer Homeless group organized by Placer County Health and Human Services.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG
White	137
Black or African American	11
Asian	11
American Indian or American Native	5
Native Hawaiian or Other Pacific Islander	6
Total	170
Hispanic	27
Not Hispanic	143

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

In PY 2021, resources targeted to special needs populations were allocated on a City-wide basis, where needs were identified and/or where resources could be coordinated with existing facilities and services. The table above includes demographic data for families assisted through CDBG Services (Public Services-Senior Nutrition, Salvation Army Rental Assistance and Stand Up Placer Therapy Services). The Infrastructure Improvements activity was also targeted to neighborhoods with older infrastructure and lowest income levels. The City assigned the priority for each category based upon the overall relative need as expressed by members of the public with disabilities, resources received and policies established by the City. The City will continue to pursue all available Federal, State and local resources and look to all segments of the community to assist with meeting homeless, affordable housing and community development needs.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	\$296,981.51	\$296,981.51
Other	CDBG-CV-1 and CDBG-CV-3	\$463,302	\$57,036.98

Table 3 - Resources Made Available

Narrative

The City of Rocklin was awarded \$284,327 in PY 2021 and reallocated a total of \$12,654.51 from prior year unspent funds. The City has expended \$296,981.51 which represents 100% of the total resources available in PY 2021. In addition, the City of Rocklin was awarded a total of \$463,302 in CDBG-CV funds (\$164,189 in CDBG-CV-1 funds and \$299,113 in CDBG-CV-3 funds). At the time of this report's preparation, the City has spent \$57,036.98 in CDBG-CV-1 and CDBG-CV-3 funds combined. Rocklin is an "exception grantee" under the CDBG rules that relate to the use of CDBG funds to benefit low-income areas. Rather than a residential area needing to be comprised of 51% or more low-income households to be considered low-income, the City of Rocklin's exception criteria is 47.31%. Areas that are within the upper quartile of low-income households are low-income areas for the purposes of CDBG funding. The data show that Rocklin has an overall Low-Moderate Income (LMI) population of 28.08%. The programs that were funded using CDBG money in PY 2021 provided services to seniors, victims of sexual and domestic assault and human trafficking, disabled and at-risk of being homeless persons in various locations within the City of Rocklin.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Central Rocklin/Downtown area	65%	69%	Sidewalk repairs and accessibility improvements to remove architectural barriers in the public right-of-way and improve safety for disabled persons.

Table 4 – Identify the geographic distribution and location of investments

Narrative

The Central Rocklin/Downtown area is a defined target area that describes a specific geographic area within the City of Rocklin. Infrastructure Improvements, in the form of ADA ramp improvements, were planned and constructed in this target area. The remaining funds, comprised of public services and

administration, were spent on activities throughout the City of Rocklin. Based on the 2011-2015 American Community Survey (ACS) data provided to HUD by the US Census Bureau, the City's "upper quartile" is 47.31% as there is only one census tract within the City that contains 51% or more Low-Moderate Income (LMI) households.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The City has limited staff to acquire and administer additional sources of funding. However, the City has allocated \$60,000 from its Low Moderate Housing Asset Funds to The Gathering Inn to fund Homeless Prevention and Rapid Rehousing efforts. The City does not receive a formula allocation of HOME Investment Partnerships Program (HOME) funds. The City of Rocklin's Section 8 voucher program is administered by the City of Roseville's Housing Authority under contract with the City of Rocklin. The Placer County Housing Authority also issues Section 8 vouchers in Rocklin.

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	0
Number of Non-Homeless households to be provided affordable housing units	0	0
Number of Special-Needs households to be provided affordable housing units	0	0
Total	0	0

Table 5 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	15	8
Number of households supported through The Production of New Units	N/A	285
Number of households supported through Rehab of Existing Units	0	0
Number of households supported through Acquisition of Existing Units	0	0
Total	15	293

Table 6 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The Salvation Army administers the Rental Assistance Program. The amount of funding needed to preserve housing for the recipients was greater than expected. Therefore, the number of individuals/households assisted (8) was lower than reflected in the original goal (15).

On August 24, 2021, the City Council approved a 288 unit affordable apartment project in North West Rocklin (called Terracina) located east of University Avenue, west of Wildcat Boulevard, and north of Whitney Ranch Parkway. As proposed, the project will consist of 285 total affordable units, including: 29 units for Extremely Low Income households, 60 units for Very Low Income households, 54 units for Low

Income households, and 142 units for Lower Income households. Construction is underway and the project is projected to open in late 2024.

Sierra College Senior Apartments is currently being processed through Design Review which will be a new 180 unit Senior affordable apartment project on the south side of Rocklin Road, just west of Havenhurst Circle.

The elimination of prior ongoing funding sources by the state of California, such as Redevelopment, has severely limited the City's ability to participate in funding of affordable housing. Through a contract with the Roseville Housing Authority, the City was able to provide 112 Section 8 vouchers throughout PY 2021. Another 64 Section 8 vouchers were issued in Rocklin by the Placer County Housing Authority for a combined total of 176 Section 8 vouchers issued.

Discuss how these outcomes will impact future annual action plans.

The City's goal is to increase the number of rental and purchase units affordable to very low, low and moderate-income households. The City will continue to pursue available Federal, State and local resources to the extent possible to address affordable housing needs. The City will meet the underserved needs of the homeless population through participation in a countywide Continuum of Care process and in partnership with supportive service providers. The City provided CDBG funding for a variety of public service activities to create or expand services that target underserved needs within the community.

Include the number of extremely low-income, low-income, and moderate-income persons served by each housing activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	0
Low-income	0	0
Moderate-income	0	0
Total	0	0

Table 7 – Number of Households Served

Narrative Information

The downturn of the housing market in the late 2000’s reduced the private market development of single-family homes and multi-family housing units. This has also reduced the availability of affordable homes for purchase and rental. Multi-family home developers have been affected by reductions or eliminations of a variety of funding sources. Now, the market is working to catch up with demand, particularly in the rental market. The City is challenged to develop new affordable housing units given the reduction of resources to subsidize affordable housing production.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

City staff has participated in regular committee meetings organized by the Homeless Resource Council of the Sierra (CoC) and Placer County Health and Human Services (HHS) Department from August 2015 to the present to consider homeless shelter needs and options in the county, as well as strategies to create permanent housing solutions. Attendees have included local agency staff from cities and counties, and numerous non-profits. The City of Rocklin will continue to coordinate with the County HHS and Placer County and serve on the Board for the Continuum of Care (CA-515) to implement the Strategic Plan to End Homelessness in Placer County as well as similar future planning endeavors to address homelessness. Their mission is to bring people together in collaboration to develop, coordinate and support projects, services and resources that will enhance our partner's ability to end homelessness.

In PY 2021, two City Council Members and City staff including the City Manager and representatives from the Rocklin Police Department participated in facilitated regional discussions between City and County leaders throughout Placer County focusing on strategies to mitigate homelessness impacts and expand partnerships between law enforcement and social services, etc. The culmination of the effort resulted in preparation of a Placer Homelessness Response Emerging Strategies Phase 1 Report. Phase 2 of this process is expected to continue throughout the remainder of 2022.

In late spring/early summer 2022 the City of Rocklin Police Department and Code Enforcement established a partnership with Placer County Probation and Health and Human Services encouraging their use of a Probation Outreach Vehicle (POV) to provide weekly outreach opportunities and connections with individuals experiencing homelessness in Rocklin. This purpose of the POV vehicle is to provide a weekly location that provides consistent services assistance and check in opportunities for probation with Rocklin individuals experiencing homelessness.

In PY 2021, the City of Rocklin awarded the Salvation Army with \$14,216.35 in funds for emergency rental assistance to be paid directly to landlords to prevent homelessness and all of those funds were expended. The Gathering Inn has a Saturday health clinic, a clothing closet, and laundry facilities. Saint Vincent de Paul serves hot meals and provides supplies, urgent and triage medical care to those in need. The Placer County Adult System of Care has a street outreach program that is successfully engaging people. Placer County's Continuum of Care (CoC) conducted a Point in Time count (PIT) in February of 2022. The PIT provides the homeless assistance community with the data needed to understand the number and characteristics of persons who are homeless at one point-in-time. A PIT count survey was conducted to document sheltered and unsheltered homeless on the night of February 23, 2022. There were 342 sheltered and 408 unsheltered for a total of 750 homeless person counted throughout Placer County as

a whole (including all incorporated Cities). Countywide numbers in 2022 were up compared to the 708 individuals that were reported Countywide in 2021 however, the method used for counting was different between the two years, due to necessary constraints for conducting the 2021 count during the COVID-19 pandemic.

Addressing the emergency shelter and transitional housing needs of homeless persons

In PY 2021, the Gathering Inn received \$60,000 from the City's Low Moderate Housing Asset Fund dollars for Homelessness Prevention and Rapid Rehousing activities to assist the homeless with social, health and case management services. This organization is a nomadic sheltering program offering overnight accommodations for up to 65 homeless persons and is the only overnight emergency shelter in Placer County serving all demographics of individuals experiencing homelessness. There are 27 hosting churches of which 7 are located in Rocklin. The organization helps homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitates access for homeless individuals and families to affordable housing units, and prevents individuals and families who were recently homeless from becoming homeless again. The Gathering Inn served a total of 673 unsheltered individuals through its four emergency shelter & interim care programs and provided 51,345 bed nights of care to homeless men, women, and children in Placer County. Of those served, 21% of all guests were placed into housing through the Gathering Inn's housing-based case management in fiscal year ending June 2022. The CoC evaluates services and identifies gaps in homeless services at least annually.

The collaboration with the Placer Consortium on Homelessness is one of the City's mechanisms to prevent homelessness in the City of Rocklin. Partnership with The Gathering Inn is also a way to assist the needs of the homeless through education and services. Homeless priorities have been established within the framework of the Homeless Resource Council of the Sierras; the priorities have been set as: emergency shelter, transitional housing, permanent supportive housing, and rapid re-housing. Most housing options for the homeless individuals and families are in permanent supportive housing programs; with only temporary openings because of a change in status of a resident. In comparison, except for victims of domestic violence, there are very few beds available in emergency shelter situations. There were 293 emergency beds in PY 21. 39 beds are solely for survivors of domestic violence, 5 beds are from the Advocates for Mentally Ill Housing, 59 beds are from Project Roomkey, 9 beds are from North Tahoe-Truckee Homeless Services, 39 beds are from Stand Up Placer, 12 beds are from VOA, and 7 beds are from Whole Person Care. The 162 remaining beds are at the Gathering Inn. This number fluctuates depending on the need.

Emergency shelter is identified as a high-priority, while Transitional housing and Supportive housing are identified as a medium-priority. The need for emergency shelter, mental health services, substance abuse treatment, and emergency food and clothing exists, especially for some subpopulations, such as those

with severe mental illness or individuals who are chronically homeless (who have had regular or continued periods of homelessness). Emergency shelter may be used as engagement housing in order to build trust until such time as the client is ready to move into a permanent supportive housing situation.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

In PY 2016, City staff constructed a list of services offered to Rocklin residents that need physical and mental health services, foster care, food and other assistance in order to better pair the services to those who need them. In PY 2021, City staff continued to add to the list as they became aware of new services. In addition, City staff has prepared a list of various organizations focusing on the needs of Rocklin persons with developmental disabilities. These organizations provide support to individuals and families who have physical, learning, language, or behavioral impairment. These conditions begin before the age of 18, have an impact on daily life, and generally last throughout a person's life. The City of Rocklin collaborates with the Placer Consortium on Homelessness as a mechanism to prevent homelessness. Family Resource Centers are located close to where people need services, are welcoming, have bilingual staff, and provide numerous services to low-income families, including families who are homeless. The CoC works with the Food Closet Collaborative to make sure that all Food Closets are informed about services. There are some families who live in outlying areas with little to no connection to services; therefore, outreach is typically provided through the Food Closets. The CoC will continue to review local health information and trends for both counties annually. The CoC will continue to work closely with the school Homeless Liaisons.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Local service providers are applying for and have received Rapid Rehousing and Homeless Prevention funds to assist homeless families and individuals. In planning the housing needs of these populations, the CoC considers the housing needs of families and individuals. The scattered site housing programs serve both populations. There are emergency beds, transitional housing beds, and permanent supportive housing beds currently serving families. Domestic violence, substance abuse, mental and physical disabilities can all contribute toward individual and family homelessness. There are collaborative community efforts to provide coordinated services to those in need of assistance. The designation of chronic family homelessness is very helpful in focusing services on the most vulnerable populations first.

The City also coordinated with surrounding jurisdictions in the provision of housing assistance and related services to homeless persons.

The Gathering Inn helps homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitates access for homeless individuals and families to affordable housing units, and prevents individuals and families who were recently homeless from becoming homeless again.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The City does not have public housing within its jurisdiction, however the City of Rocklin contracts with The Roseville Housing Authority to operate and administer the Housing Choice Voucher (HCV) Section 8 Rental Assistance Program which serves both the cities of Roseville and Rocklin. The Placer County Housing Authority provides Section 8 vouchers to Rocklin residents as well.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The City does not have public housing within its jurisdiction and therefore this section does not apply.

Actions taken to provide assistance to troubled PHAs

The City does not have public housing within its jurisdiction and therefore this section does not apply.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City of Rocklin is committed to removing or reducing barriers to affordable housing whenever possible. Barriers to affordable housing identified in the City's Analysis of Impediments to Fair Housing Choice, include: 1) Lack of sufficient affordable housing supply; 2) Loss of affordable units; and 3) Need for rental subsidy for lower-income households. During PY 2020, the City has continued to ensure the availability of adequate sites for the development of affordable housing and has researched state and federal funding sources to support efforts to construct affordable housing. The City continues to support opportunities for the redevelopment or re-use of underutilized parcels, particularly for high density and affordable housing development. The City has over 200 condominiums that must remain affordable to persons of low or moderate income. City staff verifies program participant's income and administers the program. On August 24, 2021, the City Council approved a 288 unit affordable apartment project in North West Rocklin (called Terracina) located east of University Avenue, west of Wildcat Boulevard, and north of Whitney Ranch Parkway. As proposed, the project will consist of 285 total affordable units, including: 29 units for Extremely Low Income households, 60 units for Very Low Income households, 54 units for Low Income households, and 142 units for Lower income households. Construction is underway and the project is projected to open in late 2024.

Sierra College Senior Apartments is currently being processed through Design Review which will be a new 180 unit Senior affordable apartment project located on the south side of Rocklin Road, just west of Havenhurst Circle.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

Consistent with priority needs identified in the Consolidated Plan, during PY 2021 the City provided funding for the following activities that addressed priority special needs populations:

The City provided CDBG funds to Seniors First to administer a Senior Nutrition program, which provides hot meals to seniors in need. The City provided CDBG funds to Stand Up Placer to provide additional therapy services, crisis intervention and advocacy services to Rocklin residents. The City provided CDBG funds to The Salvation Army to assist individuals or families that receive a 3-day pay or quit notice from their landlord. The assistance will go directly to the landlord on behalf of the individuals or families. The City provided Low-Moderate Income Housing Asset funds to The Gathering Inn to provide case management, temporary housing and other support services to serve individuals and families facing homelessness.

Other Federal, State and local resources, including funds from charitable organizations and private donations, were made available to service providers to assist special needs populations. Service providers received these funds directly. Maintaining a partnership with The Placer Consortium on Homelessness and Affordable Housing (PCOH) has helped to address and reduce obstacles to meeting underserved needs. In addition, the City's Housing Element includes recommendations and programs to alleviate obstacles to meeting underserved needs. The City will continue to use CDBG dollars to address underserved needs and to provide education about services and service providers to the extent feasible.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Maintaining a partnership with PCOH has helped to address and reduce obstacles to meeting underserved needs. In addition, The City of Roseville Housing Authority and Placer County Housing deteriorating surfaces containing lead-based paint, and posing a hazard to occupants are reportable to the Placer County Environmental Health Department and represent a potential County Health Code violation. Authority administer the Housing Choice Voucher Section 8 Rental Assistance Programs, of which an inspection is required of painted surfaces for the discovery of the potential presence of lead-based paint. If the inspection conducted identifies that a potential hazard exists, the program requires the rehabilitation contractor or homeowner to follow HUD guidelines for mitigation or removal of the lead-based paint hazard. The City also requires that property owners properly maintain their dwelling units. Complaints of subject to appropriate mitigation by the property owner. The City's Housing Element includes recommendations and programs to alleviate obstacles to meeting underserved needs. The City will continue to use CDBG dollars to address underserved needs and to provide education about services and service providers to the extent feasible.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

During PY 2021, the City of Rocklin took the following actions to reduce the incidence of poverty within the City's jurisdiction:

\$60,000 in Rocklin Low Moderate Housing Asset funds were allocated to The Gathering Inn. Funds were geared toward Rocklin individuals and families who are homeless or at risk of becoming homeless, and those persons living below the poverty level. The City of Rocklin assisted households by identifying and linking residents to various housing, social services and educational programs.

City Staff continues to work with new and existing business owners, developers and local business associations to promote its policy of ensuring a healthy job/housing balance which fosters a variety of jobs with varying levels of skill and training to strengthen the current local economy.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The City has continued to rely on the Rocklin Housing Division to administer its housing programs and implement housing policies. The Housing Division administers affordable housing homeownership

programs and down payment assistance programs, in addition to oversight of CDBG subrecipients and related activities. The Housing Division also provides program and resource information and referrals to residents; including referrals to homeless assistance, fair housing, legal services, etc. The programs administered by the Housing Division are funded through a variety of public and private sources, including Federal, State and City funding under local affordable housing requirements. The City is the lead agency for allocated CDBG funding and has established goals under the Entitlement Program to integrate planning and projects in correlation with community needs and priorities.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The City has continued to support services that meet the needs of Rocklin residents. The Housing Division acts as a liaison between other public and private agencies to maximize service to Rocklin residents and prevent the duplication of services. The City of Roseville's Housing Authority administers the Section 8 program for the City of Rocklin, under contract. Section 8 vouchers are also issued in Rocklin by the Placer County Housing Authority. The City will continue to provide assistance to outside organizations when necessary and as funds are available.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The City has completed several of the implementation tasks identified in the Analysis of Impediments to Fair Housing. Many of them have been ongoing tasks that have been addressed throughout the year.

Fair Housing pamphlets and literature are also made available to the public. Housing Division staff continues to further their knowledge of fair housing laws and issues, including making pamphlets and news articles available to staff. Housing Division staff continues to address all housing-related complaints, including those regarding discrimination. In the case of alleged discrimination, those residents are provided with information regarding fair housing law, a HUD discrimination complaint form, and referrals to legal services, the State Department of Fair Housing and Employment, and other appropriate agencies.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Each year, the City conducts a risk assessment of sub-recipients to determine the need for monitoring. All sub-recipients are subject to an annual risk assessment and on-site monitoring visit to ensure compliance with the CDBG program requirements. The City of Rocklin has developed a monitoring system to ensure that the activities carried out in the furtherance of the Consolidated Plan are done in a timely manner and in accordance with the federal monitoring requirements of 24 CFR 570.501 (b) and 24 CFR 85.40 and all other applicable laws, regulations, policies, and sound management and accounting practices. The City was unable to conduct on-site monitoring visits with subrecipients during PY 2020 due to COVID-19 restrictions. However, each subrecipient has received on-site monitoring visits in the previous program year between July 2019 and June 2020 (Seniors First on January 23, 2020, The Salvation Army on February 14, 2020, and Stand Up Placer on March 12, 2020) with no significant issues or findings upon completion of the monitoring visits. In April 2022, City Staff conducted an on-sight monitoring visit to The Salvation Army for the 2021 Standard CDBG Rental Assistance Program, CDBG-CV-1 Rental Assistance Program and Food Box Program and CDBG-CV-3 Rental Assistance Program. Due to staffing changes at The Salvation Army, emphasis was placed on timeliness in expending the CDBG funds, marketing the Rental Assistance Program and coordination with their new staff.

In order to ensure requirements of the remaining programs were being met during this program year, City Staff were in constant communication via emails, phone calls or Zoom meetings with each of the other subrecipients during the 2021 program year to ensure all recordkeeping practices were upheld as set forth in the Subrecipient Agreements. Due to the experience of the City with these particular subrecipients in prior years and their familiarity with use and reporting requirements for CDBG funds in the past, the City had additional confidence that their operations have continued to comply with HUD's regulations.

In terms of the actions taken for compliance with minority business outreach and comprehensive planning requirements, the City's Notice to Bid is advertised in the local paper twice (two consecutive weeks), posted on the City's website and on CIPList.com. The CIPList.com subscribers include many minority or women owned businesses.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

A notice of the Draft CAPER's availability, public review period (July 30, 2022 through August 16, 2022) and anticipated public hearing date of September 13, 2022 was published in the Placer Herald newspaper on July 30, 2022.

The City notified public service agencies directly via an email to local non-profit collaborations (Placer Collaborative Network and Placer Consortium on Homelessness) regarding the availability of the 2021 CAPER and attached electronic copies/links of the public review/hearing notice and Draft document. These organizations provide services to minorities and non-English speaking persons, as well as, persons with disabilities. The City encourages all agencies who receive these types of notices to share this information with their clients and other organizations who may be interested in the Draft CAPER.

Comments on the Draft Plan are due on Tuesday, August 16, 2022.

The CAPER will be submitted to HUD by September 30, 2022 for review.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There are some programs identified in the previous Annual Action Plan that were unable to spend the total allocation amount awarded. Due to HUD's 15% spending caps associated with the public services category, these unspent funds were reallocated to public facilities for the ADA improvement project identified in the 2021 Annual Action Plan. In PY 2020, the public facilities category increased the funding for improvements within the public right of way and pedestrian paths of travel to benefit disabled individuals to \$190,290.51. However, actual funds spent on ADA improvements in PY 2020 totaled \$187,255.00, leaving another \$3,035.51 of available funds in PY 2020 unspent.

Foothills Habitat for Humanity was allocated \$9,619 in PY 2020 for their Handyman program, but the program was temporarily discontinued as a result of safety concerns during the Coronavirus pandemic. These funds along with \$3,035.51 (i.e., total of \$12,654.51) were reallocated to public facilities for the ADA improvement project identified in the 2021 Annual Action Plan.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

CR-58 – Section 3

Identify the number of individuals assisted and the types of assistance provided

Total Labor Hours	CDBG	HOME	ESG	HOPWA	HTF
Total Number of Activities	0	0	0	0	0
Total Labor Hours	0	0	0	0	0
Total Section 3 Worker Hours	0	0	0	0	0
Total Targeted Section 3 Worker Hours	0	0	0	0	0

Table 8 – Total Labor Hours

Qualitative Efforts - Number of Activities by Program	CDBG	HOME	ESG	HOPWA	HTF
Outreach efforts to generate job applicants who are Public Housing Targeted Workers	0	0	0	0	0
Outreach efforts to generate job applicants who are Other Funding Targeted Workers.	0	0	0	0	0
Direct, on-the job training (including apprenticeships).	0	0	0	0	0
Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.	0	0	0	0	0
Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).	0	0	0	0	0
Outreach efforts to identify and secure bids from Section 3 business concerns.	0	0	0	0	0
Technical assistance to help Section 3 business concerns understand and bid on contracts.	0	0	0	0	0
Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.	0	0	0	0	0
Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services.	0	0	0	0	0
Held one or more job fairs.	0	0	0	0	0
Provided or connected residents with supportive services that can provide direct services or referrals.	0	0	0	0	0
Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.	0	0	0	0	0
Assisted residents with finding child care.	0	0	0	0	0
Assisted residents to apply for, or attend community college or a four year educational institution.	0	0	0	0	0
Assisted residents to apply for, or attend vocational/technical training.	0	0	0	0	0
Assisted residents to obtain financial literacy training and/or coaching.	0	0	0	0	0
Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.	0	0	0	0	0
Provided or connected residents with training on computer use or online technologies.	0	0	0	0	0
Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.	0	0	0	0	0
Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.	0	0	0	0	0
Other.	0	0	0	0	0

Table 9 – Qualitative Efforts - Number of Activities by Program

Narrative

Section 3 did not apply to any programs in PY 2021. Therefore, the above section does not apply.